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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete If Known	
Application Number	10/750,598
Filing Date	12/30/2003
First Named Inventor	Aoki et al
Art Unit	2645
Examiner Name	Unknown
Attorney Docket Number	AOL0106

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	<i>Gerald Gaultier</i>	Date Considered	9/15/03
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Signature

Ernald fan trif

Date
Considered

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Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)		Application No: Unassigned Filing Date: Herewith First Named Inventor: Aoki Art Unit: Unassigned Atty. Docket No. AOL0106 Examiner: Unassigned
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
GG	A	5,619,648	4/8/1997	Canale, et al	395	200.01	11/30/1994
GG	B	6,052,709	4/18/2000	Paul	709	202	12/23/1997
GG	C	6,282,565	8/28/2001	Shaw, et al	709	206	11/17/1998
GG	D	6,321,267	11/20/2001	Donaldson	709	229	11/23/1999
GG	E	6,453,327	9/17/2002	Nielsen	707	500	6/10/1996
GG	F	6,480,885	11/12/2002	Olivier	709	207	4/2/2000
GG	G	6,212,265	4/3/2001	Duphorne	379	142	1/27/1999
GG	H	6,230,188	5/8/2001	Marcus	709	206	12/8/1998
GG	I	6,236,768	5/22/2001	Rhodes, et al	382	306	5/1/1998
GG	J	6,249,807	6/19/2001	Shaw, et al	709	206	11/17/1998
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GG	L	6,370,139	4/9/2002	Redmond	370	354	10/24/1997
GG	M	6,438,583	8/20/2002	McDowell, et al	709	206	6/23/1999
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GG	R	6,505,236	1/7/2003	Pollack	709	206	4/30/1999

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
GG	S	1223527	7/17/2002	EP	G60F	17/60	X	
GG	T	1143662	10/10/2001	EP	H04L	12/24	X	
GG	U	1143664	10/10/2001	EP	H04L	12/24	X	
GG	V	1143665	10/10/2001	EP	H04L	12/24	X	
GG	W	1143681	10/10/2001	EP	H04L	29/06	X	
GG	X	946022	9/29/1999	EP	H04L	12/58	X	
GG	Y	1143663	10/10/2001	EP	H04L	12/24	X	
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GG	AA	1143661	10/10/2001	EP	H04L	12/24	X	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
GG	BB	BLANKENHORN, D.; <u>E-mail Audits Clean Lists, Improve Response Rates</u> ; B to B; 10 June 2002; USA
GG	CC	SAHAM, M. et al; <u>A Bayesian Approach to Filtering Junk E-Mail</u> ; www.CiteSeer.; Papers from 1998 Workshop; Stanford.edu/users/sahami/pap...spam.ps.
	DD	CRAWFORD, E., et. al; <u>Automatic Induction of Rules for E-Mail Classifications</u> ; Basser Department of Computer Science, University of Sydney; E. McCreath; Department of Computer Science, The Australian National University.

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GG	EE	CARRERAS, X.; <u>Boosting Trees for Anti-Spam Email Filtering</u> ; TALP Research Center, LSI Department, Universitat Politecnica de Catalunya.
GG	FF	KATIRAI, H.; <u>Filtering Junk E-Mail</u> ; Department of Electrical & Computer Engineering, University of Waterloo.
GG	GG	PROVOST, J.; <u>Naïve-Bayes vs. Rule-Learning in Classification of Email</u> ; Department of Computer Sciences, The University of Texas at Austin.
GG	HH	JOACHIMS, T.; <u>Transductive Inference for text Classifications Using Support Vector Machines</u> ; Universitat Dortmund.
GG	II	MANHEIMER, K., et al; <u>Mailman – An Extensible Mailing List Manager Using Python</u> ; Corporation for National Research Initiatives; J. Viega; Reliable Software Technologies.
GG	JJ	RANUM, M., et al; <u>Implementing a Generalized Tool for Network Monitoring</u> ; USENIX; Proceedings of the Eleventh Systems Administration Conference (LISA '97); October 26-31, 1997.
GG	KK	SCHAPIRE, R.; <u>The Boosting Approach to Machine Learning An Overview</u> ; AT & T Labs – Research Shannon Laboratory; December 19, 2001;
GG	LL	SCHOHN, G., et al; <u>Less is More: Active Learning with Support Vector Machines</u> ; Just Research.
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GG	OO	HEELS, E.J.; <u>Combating Spam</u> ; Law Practice Mgmt, vol.28,no.6, p. 9,12; ABA; September 2002; USA.
GG	PP	PEDLEY, P.; <u>Data Protection Issues for Intranets and Web Sites</u> ; Business Information Review, vol.19, no.3, p. 41-9; Bowker-Saur, Sept. 2002; UK.
GG	QQ	CHU, F.; <u>Appliance Keeps Email Safe</u> ; IT Week; vol.5,no.19, p.31; VNU Business Publications; May 13, 2002; UK.
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GG	SS	McLACHLAN, D.; <u>How to Avoid Choking on Your Spam</u> ; CA Magazine; p. 52-53; March 2002; UK.
GG	TT	MAZZUCCA, M.; <u>Email Security: Dangerous Waters Ahead</u> ; Secure Computing (Int'l Ed); p. 24-30; August 2001; UK.
GG	UU	CHAMPEON, S.; <u>Save Your Site From Spambots: Techniques to Prevent Address Scraping</u> ; WEB Techniques; vol.6,no.8, p 41-43; CMP Media, Inc.; August 2001; USA.
GG	VV	RAE, D.; <u>Spammed and Deliver (email)</u> ; Network News; UK Edition; p. 37-39; April 25, 2001; UK.
GG	WW	ROUSSOPOULOS, M., et al; <u>Person-Level Routing in the Mobile People Architecture</u> ; 2 nd USENIX Symposium on Internet Technologies and Systems; Conf. Oct. 11-14, 1999; Boulder, Colorado; USENIX Assoc, Berkeley, CA, USA.
GG	XX	CRANOR, L.F.; et al; <u>Spam(Junk E-mail)</u> ; Communications of the ACM; vol.41,no.8, p. 74-83; August 1998; USA.
GG	YY	COLLINSON, P.; <u>Putting a Stop to Spam</u> ; EXE Journal; vol.12,no.6, p. 18-19, 21-2, 24; Centaur Communications; November 1997; UK.

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

Clyde M. Gandy

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